



# JUMBO FINANCE LIMITED

805, 8th Floor, 'A' Wing, Corporate Avenue, Sonawala Road, Goregaon (East), Mumbai - 400063.  
Telefax: 022-26856703 | Email: jumbofin@hotmail.com | Web: www.jumbofinance.co.in | CIN: L65990MH1984PLC032766

Date: 12.01.2017

To,  
The Manager,  
Listing Department,  
BSE Limited  
Phiroze JeeJeebhoy Tower,  
Dalal Street, Fort  
Mumbai- 400 001

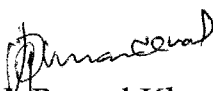
Dear Sir,

**Sub : Regulation 31 - Shareholding pattern For the Quarter Ended 31<sup>st</sup>  
December, 2016.**  
**Ref : Scrip Id - 511060**

In accordance with Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 issued by SEBI, We submit herewith the statement for the quarter ended 31<sup>st</sup> December, 2016 for your information and record.

Thanking You,  
Yours Truly,

For Jumbo Finance Ltd.

  
Jagdish Prasad Khandelwal  
Director and CFO  
DIN No.: 00457078



Encl.: As Above.



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## Annexure - I

1. Name of Listed Entity: JUMBO FINANCE LIMITED
2. Scrip Code/Name of Scrip/Class of Security 511060
3. Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
  - a. If under 31(1)(b) then indicate the report for Quarter ending: 31/12/2016
  - b. If under 31(1)(c) then indicate date of allotment/extinguishment: Not Applicable

4. Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes *	No*
1	Whether the Listed Entity has issued any partly paid up shares?		NO*
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO*
3	Whether the Listed Entity has any shares against which depository receipts are issued?		NO*
4	Whether the Listed Entity has any shares in locked-in?	YES*	
5	Whether any shares held by promoters are pledge or otherwise encumbered?		NO*

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities /Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

5 The tabular format for disclosure of holding of specified securities is as follows:-





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Table I-Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	No. of Partly paid up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V) + (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Equity	Class eg: y	Total								
(A)	Promoter & Promoter Group	2	294546	0	0	294546	6.04	294546	0	294546	6.04	0	6.04	20396	0.42	0	0	274150
(B)	Public	188	4582301	0	0	4582301	93.96	4582301	0	4582301	93.96	0	93.96	4486451	91.99	0	0	14500
(C)	Non Promoter- Non Public	0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	0
(C1)	Shares underlying DRs	0	0	0	0	0	NA	0	0	0	0	0	0	0	0	NA	0	0
(C2)	Shares held by Employee Trusts	0	0	0	0	0	0.00	0	0	0	0	0	0	0	0	NA	0	0
	<b>Total</b>	<b>190</b>	<b>4876847</b>	<b>0</b>	<b>0</b>	<b>4876847</b>	<b>100.00</b>	<b>4876847</b>	<b>0.00</b>	<b>4876847</b>	<b>100</b>	<b>0</b>	<b>100</b>	<b>4506847</b>	<b>92.41</b>	<b>0</b>	<b>0</b>	<b>288650</b>





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Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the Shareholders (i)	PAN (ii)	No. of shareholder (iii)	No. of fully paid up equity shares held (iv)	Partly paid-up equity shares held (v)	Nos. of shares underlying Depository Receipts (vi)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (ix)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (x)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (X) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (a)		As a % of total Shares held (b)
								Equity	Class eg: y	Total								
(1) Indian			0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	
Individuals/Hindu undivided Family		1	167596	0		167596	3.436565	167596	167596	3.436565	3.437	20396	0.418221	0	0	0	147200	
SMRITI RANKA	AARP/R8033M	1	167596	0		167596	3.436565	167596	167596	3.436565	3.436565	20396	0.418221	0	0	0	0	
Central Government/ State Government(s)		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
Financial Institutions/ Banks		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
Bodies Corporate		1	126950	0		126950	2.603116	126950	126950	2.603116	2.603	0	0	0	0	0	126950	
TRISHUL TRADERS PVT LTD	AAACT1787A	1	126950	0		126950	2.603116	126950	126950	2.603116	2.603116	0	0	0	0	0	126950	
(e) Any Other( Specify )		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(ei) PAC-Individuals		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(eii) Mutual Fund		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(eiii) PAC-Body Corporate		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(eiv) Non Govt. Institutions		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(ev) HUF-Individuals		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(evi) NBFC		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
Sub-Total (A)[1]		2	294546	0		294546	6.04	294546	294546	6.04	6.04	20396	0.42	0	0	0	274150	
(2) Foreign		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
Individuals (Non-Resident Individuals / Foreign		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(a) Individuals		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(b) Government		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(c) Institutions		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
Foreign Portfolio Investor		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(d) Investor		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(e) Any Other(specify)		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
Sub-Total (A)[2]		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
Total Shareholding of Promoter and Promoter Group (A)=[A][1]+[A][2]		2	294546	0		294546	6.04	294546	294546	6.04	6.04	20396	0.42	0	0	0	274150	

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.
- (3) Please note that the Count shown is more than 1 due to clubbing of holding of the First Holder with the shares held by First Holder with Joint Holders.





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Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)		As a % of total Shares held (b)
								Equity	Class etc: Y	Total								
(1) Institutions		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(a) Mutual Funds/ Venture Capital Funds		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(b) Alternate Investment Funds		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(c) Foreign Venture Capital Investors		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(d) Foreign Portfolio Investors		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(e) Financial Institutions		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(f) Banks		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(g) Insurance Companies		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(h) Provident Funds/ Pension Funds		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(i) Any Other (specify)		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(j) Foreign Financial Investors		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(k) Foreign Corporate - QFI		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(l) Sub-Total (B)(I)		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(m) Central Government/ State Government(s)/ President of India		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(n) Public (B)(2)		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(o) Non-institutions		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(p) Individuals		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
i. Individual shareholders holding nominal share capital of		161	561887	0		561887	11.52	561887	561887	11.52	11521	487537	9.89	0	0	0	0	
ii. Individual shareholders holding nominal share capital of		27	4003914	0		4003914	82.10	4003914	4003914	82.10	8210	4003914	82.10	0	0	0	0	
KRISHI NARAY THAKKAR		1	245000	0		245000	5.02	245000	245000	5.02	245000	245000	5.02	0	0	0	0	
SAMRER CHATURVEDI		1	265704	0		265704	5.45	265704	265704	5.45	265704	265704	5.45	0	0	0	0	
DEEPIKA SAMRER CHATURVEDI		1	258758	0		258758	5.31	258758	258758	5.31	258758	258758	5.31	0	0	0	0	
NIRAV THAKKAR		1	250000	0		250000	5.13	250000	250000	5.13	250000	250000	5.13	0	0	0	0	
PAPPU THAWANI		1	240952	0		240952	4.94	240952	240952	4.94	240952	240952	4.94	0	0	0	0	
RAJIV SANGALIA		1	350000	0		350000	7.18	350000	350000	7.18	350000	350000	7.18	0	0	0	0	
SAGAR KUMAR SANGALIA		1	355000	0		355000	7.28	355000	355000	7.28	355000	355000	7.28	0	0	0	0	
BRIJESH VRAJAL MAVANI		1	300000	0		300000	6.15	300000	300000	6.15	300000	300000	6.15	0	0	0	0	
PRAFUL MAHIBHAI KAPUPARA		1	300000	0		300000	6.15	300000	300000	6.15	300000	300000	6.15	0	0	0	0	
VISHAL PRATEJ KAPUPARA		1	300000	0		300000	6.15	300000	300000	6.15	300000	300000	6.15	0	0	0	0	
ATUL MAHIBHAI KAPUPARA		1	300000	0		300000	6.15	300000	300000	6.15	300000	300000	6.15	0	0	0	0	
ARUNA ATUL KAPUPARA		1	300000	0		300000	6.15	300000	300000	6.15	300000	300000	6.15	0	0	0	0	
VISHWAKUMAR CHHATTANI		1	300000	0		300000	6.15	300000	300000	6.15	300000	300000	6.15	0	0	0	0	
(b) NBFIs registered with RBI		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(c) Employee Trusts		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(d) Overseas Depositories		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(e) (holding Dis) (balancing figure)		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
(f) Any Other (specify)		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
Bodies Corporate		2	16500	0		16500	0.34	16500	16500	0.34	0	0	0	0	0	0	14500	
DKS		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
NR: Individuals		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
Foreign Company		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
Foreign National		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
Clearing Member		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
Individuals - HUF		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
Trusts		0	0	0		0	0	0	0	0	0	0	0	0	0	0	0	
Sub-Total (B)(2)		188	4582301	0		4582301	93.96	4582301	4582301	93.96	9396	4486451	91.99	0	0	0	14500	
Total Public Shareholding (B) = (B)(1)+(B)(2)+(B)(3)		188	4582301	0		4582301	93.96	4582301	4582301	93.96	9396	4486451	91.99	0	0	0	14500	

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):  
 Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:  
 (1) PAN No. is not to be displayed on website of Stock Exchange(s).  
 (2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares.  
 (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.





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Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the Shareholders (I)	PAN (II)	No. of shareholder (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	Nos. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII = IV+V+VI)	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII)	Number of Voting Rights held in each class of securities (IX)			No. of Shares Underlying Outstanding convertible securities (including Warrants) (X)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI) = (VII)+(X) as a % of A+B+C2	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held (b)	No. (Not applicable) (a)	As a % of total Shares held (b)	
								Class eg: x	Class eg: y								
(1) Custodian/DR Holder	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a) Name of DR Holder (if available)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(i) abc....	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(ii) efg....	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(2) Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
(a) Name (abc... Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0

**Note**

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to disclose name of all holders holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.

